

OKLAHOMA STATE SENATE
GENERAL CONFERENCE COMMITTEE ON APPROPRIATIONS

Mr. President:

Date: 5-19-2017

Mr. Speaker:

The Conference Committee, to which was referred

SB 774

By: David of the Senate and Caldwell of the House

Title: Residential Care Act; applications for licensure; inspections and investigations. Effective date.

together with Engrossed House Amendments thereto, beg leave to report that we have had the same under consideration and herewith return the same with the following recommendations:

1. That the House recede from all Amendments.
2. That the attached Conference Committee Substitute be adopted.

SENATE CONFEREES:

Allen _____
 Bass _____
 Bergstrom Michael Bergstrom
 Bice Normie L Bice
 Boggs _____
 Brecheen John
 Brown _____
 Dahm _____
 Daniels Julie Daniels
 David David
 Dossett _____
 Dugger Ann Dugger
 Fields Ernie Fields
 Floyd _____
 Fry _____
 Griffin Jeff Griffin
 Holt _____
 Jech _____
 Kidd _____
 Leewright Lee Leewright
 Marlatt _____
 Matthews _____
 McCortney McCortney

Newberry _____
 Newhouse _____
 Paxton _____
 Pederson Bob Pederson
 Pemberton Pemberton
 Pittman _____
 Pugh _____
 Quinn Marty Quinn
 Rader Rader
 Scott _____
 Sharp Ron Sharp
 Shaw Wayne Shaw
 Silk _____
 Simpson Simpson
 Smalley _____
 Sparks _____
 Standridge _____
 Stanislawski Stanislawski
 Sykes _____
 Thompson Thompson
 Yen _____

HOUSE CONFEREES:

General Conference Committee on Appropriations

Senate Action _____ Date _____ House Action _____ Date _____

1 STATE OF OKLAHOMA

2 1st Session of the 56th Legislature (2017)

3 CONFERENCE COMMITTEE SUBSTITUTE
4 FOR ENGROSSED

5 SENATE BILL NO. 774

By: David of the Senate

and

6 Caldwell of the House

7
8
9 CONFERENCE COMMITTEE SUBSTITUTE

10 An Act relating to the Residential Care Act; amending
11 63 O.S. 2011, Section 1-822, which relates to
12 applications for licensure; modifying requirement for
13 certain administrator; amending 63 O.S. 2011, Section
14 1-829, which relates to inspections and
15 investigations; reducing required annual inspections;
16 providing for announced inspections; directing
17 promulgation of rules for certain purposes; updating
18 statutory reference; making certain observer
19 optional; modifying types of organizations from which
20 observers may be invited; deleting certain
21 reimbursement requirement; modifying certain
22 reporting requirements; authorizing the Department of
23 Health to access certain books, records and other
24 documents; and providing an effective date.

BE IT ENACTED BY THE PEOPLE OF THE STATE OF OKLAHOMA:

SECTION 1. AMENDATORY 63 O.S. 2011, Section 1-822, is
amended to read as follows:

Section 1-822. A. An application for a license, or renewal
thereof, to establish or operate a residential care home shall be

1 accompanied by a fee of Fifty Dollars (\$50.00). The fee shall not
2 be refunded. Except as provided for in Section 1-824 of this title,
3 a license shall expire twenty-four (24) months from the date of
4 issuance, unless sooner revoked, and may be renewed biannually by
5 the State Department of Health pursuant to the provisions of the
6 Residential Care Act. All licenses shall be on a form prescribed by
7 the State Commissioner of Health, and shall include, but not be
8 limited to, the maximum bed capacity for which the license is
9 granted, the date the license was issued, and the expiration date of
10 the license. The provisions of the license shall require that the
11 license shall:

12 1. Not be transferable or assignable except as authorized by
13 the provisions of the Residential Care Act;

14 2. Be posted in a conspicuous place on the licensed premises;
15 and

16 3. Be issued only for the premises named in the application,
17 and may be renewed for twenty-four-month periods upon application,
18 inspection, and payment of the license fee, as required by the
19 provisions of the Residential Care Act.

20 B. An application shall contain the following information:

21 1. The name and address of the owner of the home. If the owner
22 is a firm or partnership, the name and address of each member
23 thereof shall be included in the application. If the owner is a
24 corporation, the name and address of the corporation and the name

1 and address of each officer and registered agent of the corporation
2 shall be included in the application;

3 2. The name and address of the applicant if the applicant is
4 not the owner and is acting as agent for the owner;

5 3. The name and location of the home for which a license is
6 sought;

7 4. The name of the administrator of the home;

8 5. The number and type of residents for whom services are to be
9 provided; and

10 6. The staffing pattern for providing resident care. In the
11 case of an application for an initial license, the staffing pattern
12 shown may be the projected staffing pattern.

13 C. Each initial application shall be accompanied by a statement
14 from the unit of local government having zoning jurisdiction over
15 the location of the home stating that the location is not in
16 violation of a zoning ordinance.

17 D. 1. An applicant shall be twenty-one (21) years of age or
18 older and meet the specific requirements for licensure as specified
19 in rules promulgated by the State Board of Health pursuant to the
20 provisions of the Residential Care Act.

21 2. No person who has been convicted of a felony in connection
22 with the management or operation of a home, or facility as defined
23 in Section 1-1902 of this title or in the care and treatment of the
24 residents of a home, or facility as defined in Section 1-1902 or 1-

1 1950.1 of this title shall be eligible to be licensed or to
2 participate in the management or operation of a home.

3 3. If the applicant is a firm, partnership, or corporation, the
4 applicant shall not be eligible to be licensed if any member of the
5 firm or partnership or any officer or major stockholder of the
6 corporation has been convicted of a felony in connection with the
7 operation or management of a home or facility or the care and
8 treatment of the residents of a home or facility as defined in
9 Section 1-1902 of this title.

10 E. 1. The application for a license or renewal of a license
11 shall be accompanied by a statement of ownership which shall include
12 the following:

- 13 a. the name, address, telephone number, occupation or
14 business activity, business address, and business
15 telephone number of the owner of the home and of every
16 person who owns the building in which the home is
17 located. If the owner is a partnership or
18 corporation, the name and address of each partner and
19 stockholder with an ownership interest of five percent
20 (5%) or more shall be included in the statement, and
- 21 b. the name and address of any other home in which the
22 owner has a full or partial financial interest or, if
23 the owner is a partnership or corporation, any other
24 home in which the partnership or corporation has a

1 full or partial financial interest. The statement
2 shall indicate whether or not any other home wherein a
3 full or partial financial interest is held would, if
4 located in this state, be required to be licensed.

5 2. The applicant shall agree in writing, prior to the issuance
6 of a license, to notify the Department if there is any change in the
7 information required to be included in the statement of ownership
8 thirty (30) days in advance of such change. The information
9 contained in the statement of ownership shall be public information
10 and shall be available upon request from the Department.

11 F. Upon application of a licensee, a license may be modified in
12 accordance with the provisions of the Residential Care Act. Such
13 application for modification of a license shall be accompanied by a
14 fee of Twenty Dollars (\$20.00) and shall be submitted in such form
15 and manner as required by the Department.

16 G. Upon payment of the required application fees, the
17 Commissioner may issue and renew licenses which substantially comply
18 with the provisions of the Residential Care Act and rules
19 promulgated pursuant thereto; provided, however, a plan of
20 correction shall be submitted and accepted by both parties prior to
21 licensure.

22 H. All residential care homes shall be required to have or
23 employ a ~~certified~~ licensed administrator for the home.

1 SECTION 2. AMENDATORY 63 O.S. 2011, Section 1-829, is
2 amended to read as follows:

3 Section 1-829. A. Every home for which a license has been
4 issued shall be inspected by a duly appointed representative of the
5 State Department of Health pursuant to rules promulgated by the
6 State Board of Health with the advice and counsel of the Long-Term
7 Care Facility Advisory Board. Inspection reports shall be prepared
8 on forms prescribed by the Department with the advice and counsel of
9 the Advisory Board.

10 B. 1. The Department shall at least ~~three times~~ one time a
11 year and whenever it deems necessary inspect, survey, and evaluate
12 each home to determine compliance with applicable licensure rules.

13 2. An inspection, investigation, survey, or evaluation shall be
14 either announced or unannounced. The State Board of Health shall
15 promulgate rules determining the criteria when an inspection,
16 investigation, survey or evaluation shall be unannounced or may be
17 announced by the Department. Any licensee, applicant for a license
18 or operator of any unlicensed facility shall be deemed to have given
19 consent to any duly authorized employee, agent of the Department to
20 enter and inspect the home in accordance with the provisions of the
21 Residential Care Act. Refusal to permit such entry or inspection
22 shall constitute grounds for the denial, nonrenewal, suspension, or
23 revocation of a license as well as emergency transfer of all
24 residents.

1 3. Any employee of the Department who discloses to any
2 unauthorized person, prior to an inspection, information regarding
3 an unannounced residential care home inspection that is required
4 pursuant to the provisions of ~~this act~~ the Residential Care Act
5 shall, upon conviction thereof, be guilty of a misdemeanor. In
6 addition, such action shall be construed to be a misuse of office
7 and punishable as a violation of rules promulgated by the Ethics
8 Commission.

9 One person ~~shall~~ may be invited from a statewide organization of
10 ~~the elderly~~ older adults or persons with disabilities by the
11 Department to act as a citizen observer in any inspection. ~~Such~~
12 ~~person shall receive expenses as provided in the State Travel~~
13 ~~Reimbursement Act.~~

14 C. The Department shall maintain a log, updated at least
15 monthly and available for public inspection, which shall at a
16 minimum detail:

17 1. The name of the home and date of inspection, investigation,
18 survey, or evaluation;

19 2. Any deficiencies, lack of compliance, or violation noted at
20 the inspection, investigation, survey, or evaluation;

21 3. The date a notice of violation, license denial, nonrenewal,
22 suspension, or revocation was issued or other enforcement action
23 occurred;

1 4. The date a plan of correction was submitted and the date the
2 plan was approved;

3 5. The date corrections were completed, as verified by an
4 inspection; and

5 6. If the inspection or investigation was made pursuant to the
6 receipt of a complaint, the date such complaint was received and the
7 date the complainant was notified of the results of the inspection
8 or investigation.

9 D. The Department ~~shall~~ may require the residential care home
10 to submit periodic reports ~~and~~ . The Department shall have access to
11 books, records and other documents maintained by the home to the
12 extent necessary to implement the provisions of the Residential Care
13 Act and the rules promulgated by the Board pursuant thereto.

14 E. The Department shall make at least one annual report on each
15 home in the state. The report shall include all conditions and
16 practices not in compliance with the provisions of the Residential
17 Care Act or rules promulgated pursuant thereto within the last year
18 and, if a violation is corrected, or is subject to an approved plan
19 of correction. The Department shall send a copy of the report to
20 any person upon receiving a written request. The Department may
21 charge a reasonable fee to cover the cost of copying and mailing the
22 report.

23 F. A state or local ombudsman as that term is defined by the
24 Special Unit on Aging within the Department of Human Services

1 pursuant to the Older Americans' Act, 42 U.S.C.A., Section 3001 et
2 seq., as amended, or case manager employed by the Department of
3 Mental Health and Substance Abuse Services or one of its contract
4 agencies is authorized to accompany and shall be notified by the
5 Department of any inspection conducted of any home licensed pursuant
6 to the provisions of the Residential Care Act. Any state or local
7 ombudsman is authorized to enter any home licensed pursuant to the
8 provisions of the Residential Care Act, communicate privately and
9 without unreasonable restriction with any resident of a home who
10 consents to such communication, to seek consent to communicate
11 privately and without restriction with any resident of a home, and
12 to observe all areas of a home that directly pertain to the care of
13 a resident of a home.

14 G. Following any inspection by the Department, pursuant to the
15 provisions of this section, all reports relating to the inspection
16 shall be filed in the county office of the Department of Human
17 Services in which the home is located and with the Department of
18 Mental Health and Substance Abuse Services.

19 SECTION 3. This act shall become effective November 1, 2017.
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